# Scientific Programming: Algorithms (part B)

Introduction

Luca Bianco - Academic Year 2019-20 luca.bianco@fmach.it [credits: thanks to Prof. Alberto Montresor]

## About me

### **Computer Science**

Ph.D. at the University of Verona, Italy, with thesis on Simulation of Biological Systems

### **Research Fellow at Cranfield University - UK**

Three years at Cranfield University working at proteomics projects (GAPP, MRMaid, X-Tracker...) Module manager and lecturer in several courses of the MSc in Bioinformatics

### **Bioinformatician at IASMA – FEM**

Currently bioinformatician in the Computational Biology Group at Istituto Agrario di San Michele all'Adige – Fondazione Edmund Mach, Trento, Italy

### Collaborator uniTN - CiBio

I ran the Scienitific Programming Lab for QCB for the last couple of years

## Organization

145540 Scientific Programming (12 ECTS, LM QCB) 145685 Scientific Programming (12 ECTS, LM Data Science)

### Part A - Programming (23/9-31/10)

Introduction to the Python language and to a collection of programming libraries for data analysis.

• Mutuated as 145912 Scientific Programming (LM Math, 6 credits)

### Part B - Algorithms (4/11-12/12)



Design and analysis of algorithmic solutions. Presentation of the most important classes of algorithms and evaluation of their performance.

## Topics

- Introduction
  - Recursion
  - Algorithm analysis
  - Asymptotic notations
- Data structures
  - High level overview
  - Sequences, maps (ordered/unordered), sets
  - Data structure implementations in Python
- Trees
  - Data structure definition
  - Visits

- Graphs
  - Data structure definition
  - Visits
  - Algorithms on graphs
- Algorithmic techniques
  - Divide-et-impera
  - Dynamic programming
  - Greedy
  - Backtrack
  - NP class: brief overview

## Learning outcomes

At the end of the module, students are expected to:

- evaluate algorithmic choices and select the ones that best suit their problems;
- analyze the complexity of existing algorithms and algorithms created on their own;
- design simple algorithmic solutions to solve basic problems.

## Teaching team

- Instructor: Dr. Luca Bianco
  - Theory lectures, algorithmic exercises
  - luca.bianco [AT] fmach.it
- Teaching assistant: Dr. Massimiliano Luca
  - Lab sessions on algorithms (QCB)
  - massimiliano.luca [AT] unitn.it
- Teaching assistant: Dr. David Leoni
  - Lab sessions on algorithms (data science)
  - david.leoni [AT] unitn.it

### Schedule

Week day	Time	Room	Description
Monday	14.30-16.30	A107	Lecture
Tuesday	15.30-17.30	A107	Lab. QCB
Tuesday	15.30-17.30	A103	Lab. Data Science
Wednesday	11.30-13.30	A107	Lecture
Thursday	15.30-17.30	A107	Lab. QCB
Thursday	15.30-17.30	A208	Lab. Data Science



midterms:

Part A (tomorrow 11:30-13:30 B106— no lab in the afternoon) Part B (tentatively ~ December, 17th or 19th)

### **Course material**

Lectures:

Material and information: https://sciproalgo2019.readthedocs.io/en/latest/

Practicals:

QCB: https://massimilianoluca.github.io/algoritmi/index.html

Data science: https://datasciprolab.readthedocs.io/en/latest/

[Thanks to Prof. Alberto Montresor for the material]

### **Course material**

#### **Scientific Programming: Algorithms**

#### **General Info**

The contacts to reach me can be found at this page.

#### **Timetable and lecture rooms**

Lectures will take place on Mondays from 14:30 to 16:30 (in lecture room A107) and on Wednesdays from 11:30 to 13:30 (in lecture room A107). This second part of the Scientific Programming course will tentatively run from 06/11/2019 to 20/12/2019.

#### Slides

The slides shown during the lectures will gradually appear below:

· Lecture 1: Introduction to algorithms

#### **Teaching assistants**

David Leoni (for Data Science)

Massimiliano Luca (for QCB)

#### **Course material**

Brad Miller and David Ranum. Problem Solving with Algorithms and Data Structures using Python. An interactive version is freely available at this link.

Other material includes the following books:

- Lutz. Learning Python (5th edition). O'REILLY (2013)
- · Hetland. Python Algorithms: Mastering Basic Algorithms in the Python Language. Apress, 2nd

### Where we stand...

### So far...

we have learnt a bit of Python and we started doing some little examples of data analysis (saw some libraries, etc...)

### From now on..

we will focus on:

"Solving problems" providing solutions (correctness), possibly in an efficient way (complexity), organizing data in the most suitable ways (data structures)



## Maximal sum problem

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice



Find the maximal sum, rather than the interval that provides the maximal sum.

Is the problem clear?

Example:

## Maximal sum problem

- Input: a list A containing n numbers
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Example:

## Maximal sum problem

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Find the maximal sum, rather than the interval that provides the maximal sum.

Is the problem clear?

Example:

Maximal sum: 18. Any ideas on how to solve this problem?

## Solution 1 ~ N^3

#### Idea:

### Given the list A with N elements

```
Consider all pairs (i,j) such that i \le j
Get the elements in A[i:j+1]
Compute the sum of all elements in A[i:j+1]
Update max_so_far if sum \ge max_so_far
```

```
def max_sum_v1(A):
    max_so_far = 0
    N = len(A)
    for i in range(N):
        for j in range(i,N):
            tmp_sum = sum (A[i:j+1])
            max_so_far = max(tmp_sum, max_so_far)
    return max so far
```

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

1	3	4	-8	2	3	-1	3	4	-3	10	-3	2
---	---	---	----	---	---	----	---	---	----	----	----	---

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]

print(A)

print(max_sum_v1(A))

[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]

18
```

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
def max_sum_v1_listc_1(A):
    N = len(A)
    sums = [sum(A[i:j+1]) for i in range(N) for j in range(i,N)]
    return max(sums)
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print(A)
print(max_sum_v1_listc_1(A))
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
def max_sum_v1_listc_1(A):
    N = len(A)
    sums = [sum(A[i:j+1]) for i in range(N) for j in range(i,N)]
    return max(sums)
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print(A)
print(max_sum_v1_listc_1(A))
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

No thanks!

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
def max sum v1 listc 1(A):
        N = len(A)
        sums = [sum(A[i:j+1]) for i in range(N) for j in range(i,N)]
                                                                                                How many
                                                                                                elements?
        return max(sums)
   A = [1,3,4,-8,2,3,-1,3,4,-3,10,-3,2]
   print(A)
                                                                                                N*(N+1)/2 ~ N^2
   print(max sum v1 listc 1(A))
   [1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
   18
                                                                                        [1, 4, 8, 0, 2, 5, 4, 7, 11, 8, 18, 15, 17, 3, 7, -1,
                                                                                        1, 4, 3, 6, 10, 7, 17, 14, 16, 4, -4, -2, 1, 0, 3, 7,
                                                                                        4, 14, 11, 13, -8, -6, -3, -4, -1, 3, 0, 10, 7, 9, 2,
                                                                                        5, 4, 7, 11, 8, 18, 15, 17, 3, 2, 5, 9, 6, 16, 13,
                                                                                        15, -1, 2, 6, 3, 13, 10, 12, 3, 7, 4, 14, 11, 13, 4,
                                                                                        1, 11, 8, 10, -3, 7, 4, 6, 10, 7, 9, -3, -1, 21
                                                                                        \rightarrow 91 elements!
If A has 100,000 elements → ~ 40 GB RAM!!!
```

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
def max_sum_v1_listc(A):
    N = len(A)
    intervals = [A[i:j+1] for i in range(N) for j in range(i,N)]
    sums = [sum(vals) for vals in intervals]
    return max(sums)
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print(A)
print(max_sum_v1_listc(A))
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

Stores intervals and sums!!!

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice



#### Important note:

Time and space (memory) are two important resources!

[size computed with sys.getsizeof(DATA)]

## Solution 1 ~ N^3

#### Idea:

### Given the list A with N elements

```
Consider all pairs (i,j) such that i \le j
Get the elements in A[i:j+1]
Compute the sum of all elements in A[i:j+1]
Update max_so_far if sum \ge max_so_far
```

```
def max_sum_v1(A):
    max_so_far = 0
    N = len(A)
    for i in range(N):
        for j in range(i,N):
            tmp_sum = sum (A[i:j+1])
            max_so_far = max(tmp_sum, max_so_far)
    return max_so_far
```

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

Why N^3?

Intuitively,

We have N\*(N+1)/2 pairs and the sum of N numbers takes N operations.

So: N \* [N\*(N+1)/2] ~ N^3

Can we do any better than this?

## Solution 2 ~ N^2

Observation: There is no point in computing the same sums over and over again!

```
If S = sum(A[i:j]) \rightarrow sum(A[i:j+1]) = S + A[j+1]
def max sum v2(A):
    N = len(A)
    max so far = 0
    for i in range(N):
        tot = 0 #ACCUMULATOR!
        for j in range(i,N):
            tot = tot + A[j]
            max so far = max(max so far, tot)
    return max so far
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print(A)
print(max sum v2(A))
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

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            tot = tot + A[j]
            max so far = max(max so far, tot)
    return max so far
A = [1,3,4,-8,2,3,-1,3,4,-3,10,-3,2]
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18
```

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

Tot (i, j)  $0, 1, 4, 8, 0, 2, 5, 4, 7, 11, 8, 18, 15, 17, \leftarrow (0, x)$  $0, 3, 7, -1, 1, 4, 3, 6, 10, 7, 17, 14, 16, \leftarrow (1, x)$  $0, 4, -4, -2, 1, 0, 3, 7, 4, 14, 11, 13, \leftarrow (2, x)$ 0, -8, -6, -3, -4, -1, 3, 0, 10, 7, 9, 0, 2, 5, 4, 7, 11, 8, 18, 15, 17, 0, 3, 2, 5, 9, 6, 16, 13, 15, 0, -1, 2, 6, 3, 13, 10, 12, 0. 3. 7. 4. 14. 11. 13. 0, 4, 1, 11, 8, 10, 0, -3, 7, 4, 6, 0, 10, 7, 9, 0, -3, -1, 0.2  $\leftarrow$  (N-1, x) Maxes (max so far)

## Solution 2 ~ N^2

Observation: There is no point in computing the same sums over and over again!

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

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If S = sum(A[i:j]) \rightarrow sum(A[i:j+1]) = S + A[j+1]
def max sum v2(A):
    N = len(A)
    max so far = 0
    for i in range(N):
        tot = 0 #ACCUMULATOR!
        for j in range(i,N):
            tot = tot + A[j]
            max so far = max(max so far, tot)
    return max so far
A = [1,3,4,-8,2,3,-1,3,4,-3,10,-3,2]
print(A)
print(max sum v2(A))
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

Intuitively, we have to consider N\*(N+1)/2 ~ N^2 intervals (for each interval we compute a sum and a maximum of two values: constant time!)

The space required is just a couple of variables: **constant**!

Solution 2 ~ N^2

#### Tip: use itertools

Accumulate of itertools is done in C so it is faster

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

from itertools import accumulate
A = list(range(10))
print(A)
print(list(accumulate(A)))
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
[0, 1, 3, 6, 10, 15, 21, 28, 36, 45]

Solution 2 ~ N^2

#### Tip: use itertools

Accumulate of itertools is done in C so it is faster

```
from itertools import accumulate
```

```
def max_sum_v2_bis(A):
    N = len(A)
    max_so_far = 0
    for i in range(N):
        tot = max(accumulate(A[i:]))
        max_so_far = max(max_so_far,tot)
    return max_so_far
```

```
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print(A)
print(max_sum_v2_bis(A))
```

[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2] 18

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

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[0, 1, 3, 6, 10, 15, 21, 28, 36, 45]
```

Similar as before but max computed on the accumulated sum (accumulate "hides" a for loop)

Important note: N intervals, sum of N elements each time: ~ N^2 operations

The improvement comes from implementation not algorithm! (code faster by a constant factor)

### Divide et impera (Divide and conquer)

### Idea:

- Split it in two equally sized sublists
- Find maxL as the sum of the maximal sublist on the left part
- Find maxR as the sum of the maximal sublist on the right part
- Get the solution as max(maxL, maxR)



Is this correct? Do you see any problem with this?

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

### Divide et impera (Divide and conquer) Idea:

- Split it in two equally sized sublists
- Find maxL as the sum of the maximal sublist on the left part
- Find **maxR** as the sum of the maximal sublist on the right part
- maxLL+maxRR is the value of the maximal sublist accross the two parts

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice



### Divide et impera (Divide and conquer) Idea:

- Split it in two equally sized sublists
- Find **maxL** as the sum of the maximal sublist on the left part
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- maxLL+maxRR is the value of the maximal sublist accross the two parts

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

Get the point before the mid-point M and go to the left until the sum increases. Repeat starting from M+1. **Result is: max(maxL, maxRR, maxLL+maxR)** 

maxL maxLR maxR

### Divide et impera (Divide and conquer)

```
def max sum v3 rec(A, i, j):
    if i == j:
        return max(0, A[i])
    m = (i+i)/2
    maxML = 0
    S = 0
    for k in range(m,i-1,-1):
        s = s + A[k]
        maxML = max(maxML, s)
    maxMR = 0
    S = 0
    for k in range(m+1, j+1):
        s = s + A[k]
        maxMR = max(maxMR, s)
    maxL = max sum v3 rec(A,i,m) #Left maximal subvector
    maxR = max sum v3 rec(A,m+1,j) #Right maximal subvector
    return max(maxL, maxR, maxML + maxMR)
def max sum v3(A):
    return max sum v3 rec(A,0,len(A) - 1)
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print(A)
print(max sum v3(A))
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

Recursive code: calls itself on a smaller sublist.

Runs in N\*log(N) ... more on this later



### Divide et impera (Divide and conquer)

Tip: use itertools

```
def max sum v3 rec bis(A,i,j):
    if i == i:
        return max(0,A[i])
   m = (i+j)//2
   maxL = max sum v3 rec bis(A,i,m)
   maxR = max sum v3 rec bis(A, m+1, j)
   maxML = max(accumulate(A[m:-len(A) + i -1: -1]))
   maxMR = max(accumulate(A[m+1:j+1]))
    return max(maxL, maxR, maxML+ maxMR)
def max sum v3(A):
    return max sum v3 rec bis(A,0,len(A) - 1)
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print(A)
print(max sum v3(A))
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice



```
print(-len(A) + 2 - 1)
A[M: -len(A) + 2 -1 : -1]
[0, 1, 2, 3, 4, 5, 6, 7, 8, 9]
-9
```

[4, 3, 2]

**Recursive code**: can use itertools as before to accumulate the sum.

Runs in **N\*log(N)** ...just a little bit faster, more on this later

### **Dynamic Programming**

Let's define **maxHere[i]** as the <u>maximum</u> value of each sublist that ends in i.

The result is computed from the **maximum** slice that ends in any position.

$$maxHere[i] = \begin{cases} 0 & i < 0\\ max(maxHere[i-1] + A[i], 0) & i \ge 0 \end{cases}$$

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

### **Dynamic Programming**

Let's define maxHere[i] as the maximum value of each sublist that ends in i.

The result is computed from the maximum slice that ends in any position.

$$maxHere[i] = \begin{cases} 0 & i < 0\\ max(maxHere[i-1] + A[i], 0) & i \ge 0 \end{cases}$$

Goes through A once: runs in N

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

```
def max_sum_v4(A):
    max_so_far = 0 #Max found so far
    max_here = 0 #Max slice ending at cur pos
    for i in range(len(A)):
        max_here = max(A[i] + max_here, 0)
        max_so_far = max(max_so_far, max_here)
        return max_so_far
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print("{}".format(A))
print(max_sum_v4(A))
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

### **Dynamic Programming**

```
def max_sum_v4(A):
    max_so_far = 0 #Max found so far
    max_here = 0 #Max slice ending at cur pos
    for i in range(len(A)):
        max_here = max(A[i] + max_here, 0)
        max_so_far = max(max_so_far, max_here)
        return max_so_far
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print("{}".format(A))
print(max_sum_v4(A))
[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
18
```

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

A:	[1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
max_here:	[0, 1, 4, 8, 0, 2, 5, 4, 7, 11, 8, 18, 15, 17]
max_so_far:	[0, 1, 4, 8, 8, 8, 8, 8, 8, 11, 11, 18, 18, 18]

### **Dynamic Programming**

Stores also the indexes

```
def max sum v4 bis(A):
    max so far = 0 #Max found so far
    max here = 0 #Max slice ending at cur pos
    start = 0 #start of cur maximal slice
    end = 0 #end of cur maximal slice
    last = 0 #beginning of max slice ending here
    for i in range(len(A)):
        max here = A[i] + max here
        if max here <= 0:
            max here = 0
            last = i + 1
        if max here > max so far:
            max so far = max here
            start = last
            end = i
    return (start,end,max so far)
A = [1,3,4,-8,2, 3,-1,3,4,-3,10,-3,2]
print("A: {}".format(A))
print(max sum v4 bis(A))
A: [1, 3, 4, -8, 2, 3, -1, 3, 4, -3, 10, -3, 2]
(4, 10, 18)
```

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice

۸.	[1 O ]	0 0 0	1 2 1	2 10	2 21
А.	[I, J, 4,	, -o, ∠, ∍,	, - I, S, 4,	-3, 10	, -3, ∠]

Max_so_far:	[0, 1, 4, 8, 8, 8, 8, 8, 8, 11, 11, 18, 18, 18]
Max_here:	[0, 1, 4, 8, 0, 2, 5, 4, 7, 11, 8, 18, 15, 17]
Last:	[0, 0, 0, 0, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4]
Start:	[0, 0, 0, 0, 0, 0, 0, 0, 0, 4, 4, 4, 4, 4]
End:	[0, 0, 1, 2, 2, 2, 2, 2, 2, 8, 8, 10, 10, 10]

## Running times...

- Input: a list A containing n numbers
- **Output**: a slice (sublist) A[i:j] of maximal sum, i.e. the slice whose element sum  $\sum_{k=i}^{j-1} A[k]$  is larger or equal than the sum of any other slice



## Some definitions...

### Computational problem

The formal relationship between the input and the desired output

### Algorithm

- The description of the sequence of actions that an executor must execute to solve the problem
- Among their tasks, algorithms represent and organize the input, the output, and all the intermediate data required for the computation

## Some history...

- Ahmes' Papyrus (1850 BC, peasant algorithm for multiplication)
- Numerical algorithms have been studied by Babylonians and Indian mathematicians
- Algorithms used even today have been studies by Greek mathematicians more than 2000 years ago
  - Euclid's Algorithm for the greatest common divisor
  - Geometrical algorithms (angle bisection and trisection, tangent drawing, etc)



## Algorithms: the name...

Abu Abdullah Muhammad bin Musa al-Khwarizmi

- He was a Persian mathematician, astronomer, astrologer, geographer
- He introduced the indian numbers in the western world
- From his name: algorithm

### Al-Kitab al-muhtasar fi hisab al-gabr wa-l-muqabala

- His most famous work (820 AC)
- Translated in Latin with the title: *Liber algebrae et almucabala*





### Computational problems: examples

### Minimum

The minimum of a set S is the element of S which is smaller or equal that any other element of S.

$$\min(S) = a \Leftrightarrow \exists a \in S : \forall b \in S : a \le b$$

### Looukp

Let  $S = s_0, s_1, \ldots, s_{n-1}$  be a sequence of distinct, sorted numbers, i.e.  $s_0 < s_1 < \ldots < s_{n-1}$ . To perform a lookup of the position of value v in S corresponds to returning the index i such that  $0 \le i < n$ , if v is contained at position i, -1 otherwise.

$$lookup(S, v) = \begin{cases} i & \exists i \in \{0, \dots, n-1\} : S_i = v \\ -1 & \text{otherwise} \end{cases}$$

### Computational problems: examples

### Minimum

The minimum of a set S is the element of S which is smaller or equal that any other element of S.

$$\min(S) = a \Leftrightarrow \exists a \in S : \forall b \in S : a \le b$$

### Looukp

Let  $S = s_0, s_1, \ldots, s_{n-1}$  be a sequence of distinct, sorted numbers, i.e.  $s_0 < s_1 < \ldots < s_{n-1}$ . To perform a lookup of the position of value v in S corresponds to returning the index i such that  $0 \le i < n$ , if v is contained at position i, -1 otherwise.

$$lookup(S, v) = \begin{cases} i & \exists i \in \{0, \dots, n-1\} : S_i = v \\ -1 & \text{otherwise} \end{cases}$$

**Note**: we described a relationship between input and output. Nothing is said on how to compute the result (that's the difference between math and computer science :-) )

### Naive solutions

### Minimum

To find the minimum of a set, compare each element with every other element; the element that is smaller than any other is the minimum.

### Lookup

To find a value v in the sequence S, compare v with any other element of S, in order, and return the corresponding index if a correspondence is found; returns -1 if none of the elements is equal to v. Computational Problem

First, let's **translate** the computational problem into an algorithm to solve it.

Then, make it **more efficient** if possible!

### Naive solutions: the code

```
def my min(S):
    for x in S:
        isMin = True
        for y in S:
            if x > y:
                isMin = False
        if isMin:
            return x
A = [7, -1, 9, 121, -3, 4, 13]
print(A)
print("min: {}".format(my min(A)))
[7, -1, 9, 121, -3, 4, 13]
min: -3
```

```
def lookup(L, v):
    for i in range(len(L)):
        if L[i] == v:
            return i
    return -1
my list = [1, 3, 5, 11, 17, 121, 443]
print(my list)
print("{} in pos: {}".format(17,
                              lookup(my list, 17)))
print("{} in pos: {}".format(4,
                              lookup(my list, 4)))
[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
```

This is a direct translation of the computational problem. Can we do better?

## Algorithm evaluation

### Does it solve the problem in a correct way?

- Mathematical proof vs informal description
- Some problems can only be solved in an approximate way
- Some problems cannot be solved at all

### Does it solve the problem in an efficient way?

- How to measure efficiency
- Some solutions are optimal: you cannot find better solutions
- For some problems, there are no efficient solutions

**Note on efficiency**: algorithm efficiency has a bigger impact on performance than technical details (e.g. using Python vs. C, itertools vs sum etc...)

## Efficiency: time and space

### Algorithm complexity

Analysis of the resources employed by an algorithm to solve a problem, depending on the size and the type of input

### Resources

- Time: time needed to execute the algorithm
  - Should we measure it with a cronometer?
  - Should I measure it by counting the number of elementary operations?
- Space: amount of used memory
- Bandwidth: amount of bit transmitted (distributed algorithms)

Normally, we focus on **time** because there is a relationship between TIME and SPACE. Intuitively, Using N^2 space will require at least N^2 time to read the input... **Normally, TIME > SPACE** 

## Algorithm evaluation: minimum

How many comparisons do we perform?



```
If len(S) = n:
for x in 1,...,n:
for y in 1,...,n:
x>y
...
```

→ n\*n comparisons

### Naive algorithm has complexity: n^2

## Algorithm evaluation: minimum, a better solution

How many comparisons do we perform?



This is the most expensive operation (might work on ints, strings, files,...)

```
If len(S) = n:
i= 1,...,n-1
S[i] < min_so_far
```

→ n-1 comparisons

Naive algorithm "has complexity": n^2

Better algorithm "has complexity": n-1

## Algorithm evaluation: lookup

How many comparisons do we perform?

```
def lookup(L, v):
    for i in range(len(L)):
        if L[i] == v:
            return i
    return -1

my_list = [1, 3, 5, 11, 17, 121, 443]
print(my_list)
print("{} in pos: {}".format(17,
            lookup(my_list, 17)))
print("{} in pos: {}".format(4,
            lookup(my_list, 4)))
[1 3 5 11 17 121 443]
```

I compare v with first element, then to the second etc. when I find it or when I checked the whole list I stop.

→ n comparisons

Naive algorithm "has complexity": n

[1, 3, 5, 11, 17, 121, 443] 17 in pos: 4 4 in pos: -1

## Algorithm evaluation: lookup, better solution

How many comparisons do we perform?

```
def lookup(L, v):
    for i in range(len(L)):
        if L[i] == v:
            return i
        elif L[i] > v:
            return -1
    return -1
my list = [1, 3, 5, 11, 17, 121, 443]
print(my list)
print("{} in pos: {}".format(17,
                              lookup(my list, 17)))
print("{} in pos: {}".format(4,
                              lookup(my list, 4)))
print("{} in pos: {}".format(500,
                              lookup(my list, 4)))
[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
500 in pos: -1
```

I loop through the list, if I find value > v I can stop.

Generally faster, but worst case (es. 500 below)

→ n comparisons

Naive algorithm "has complexity": n Better algorithm "has complexity": n

## Algorithm evaluation: best, worst and average case

What is the most important case?



The list is sorted...

lookup(L,v)

ex. lookup(L,28)



The list is sorted...

lookup(L,v)

ex. lookup(L,28)



Let's start considering the **median value**, m.

If L[m] = v. Found it!

if L[m] > v. Search L[0:m]

if  $L[m] \leq v$ . Search L[m+1:]

The list is sorted...

lookup(L,v)

ex. lookup(L,28)



m

Let's start considering the **median value**, m.

If L[m] = v. Found it!

if L[m] > v. Search L[0:m]

if L[m] <v. Search L[m+1:]

21 < 28 → ignore L[0:m]

The list is sorted...

lookup(L,v)

ex. lookup(L,28)

m



Let's start considering the **median value**, m.

If L[m] = v. Found it!

if L[m] > v. Search L[0:m]

if L[m] <v. Search L[m+1:]

**28** < 29 → ignore L[m+1:]

The list is sorted...

lookup(L,v)

ex. lookup(L,28)

m



Let's start considering the **median value**, m.

If L[m] = v. Found it!

if L[m] > v. Search L[0:m]

if L[m] <v. Search L[m+1:]

**28** < 29 → ignore L[m+1:]

The list is sorted...

lookup(L,v)

ex. lookup(L,28)

m



Let's start considering the **median value**, m.

If L[m] = v. Found it!

if L[m] > v. Search L[0:m]

if L[m] <v. Search L[m+1:]

27 != 28 → NOT FOUND

### Lookup: the recursive code

```
def lookup rec(L, v, start,end):
    if end < start:</pre>
        return -1
                                                             but it is similar
    else:
        m = (start + end)//2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left
            return lookup rec(L, v, start, m-1)
        else: #look to the right
            return lookup rec(L, v, m+1, end)
my list = [1, 3, 5, 11, 17, 121, 443]
print(my list)
print("{} in pos: {}".format(17,
                              lookup rec(my list, 17, 0, len(my list)-1)))
print("{} in pos: {}".format(4,
                              lookup rec(my list, 4, 0, len(my list)-1)))
print("{} in pos: {}".format(443,
                              lookup rec(my list, 443, 0, len(my list)-1)))
[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
443 in pos: 6
```

can stop and check when end == start but it is similar

### Lookup: the recursive code

```
def lookup rec(L, v, start,end):
    if end < start:</pre>
        return -1
    else:
        m = (start + end)//2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left
            return lookup rec(L, v, start, m-1)
        else: #look to the right
            return lookup rec(L, v, m+1, end)
my list = [1, 3, 5, 11, 17, 121, 443]
print(my list)
print("{} in pos: {}".format(17,
                              lookup rec(my list, 17, 0, len(my list)-1)))
print("{} in pos: {}".format(4,
                              lookup rec(my list, 4, 0, len(my list)-1)))
print("{} in pos: {}".format(443,
                              lookup rec(my list, 443, 0, len(my list)-1)))
[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
443 in pos: 6
```

2 comparisons (==, <) at each call

How many total comparisons?

Anyone wants to try?

## Lookup: the recursive code

```
def lookup rec(L, v, start,end):
    if end < start:</pre>
        return -1
    else:
        m = (start + end)//2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left
            return lookup rec(L, v, start, m-1)
        else: #look to the right
            return lookup rec(L, v, m+1, end)
my list = [1, 3, 5, 11, 17, 121, 443]
print(my list)
print("{} in pos: {}".format(17,
                              lookup rec(my list, 17, 0, len(my list)-1)))
print("{} in pos: {}".format(4,
                              lookup rec(my list, 4, 0, len(my list)-1)))
print("{} in pos: {}".format(443,
                              lookup rec(my list, 443, 0, len(my list)-1)))
[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
443 in pos: 6
```

2 comparisons (==, <) at each call How many total comparisons? At beginning 1024 elements... then 512... then 256... then 128... then 64... then 32... then 16... then 8... then 4... then 2... then 1

```
→ log2(1024) +1 iterations
```

#### Complexity ~ log2 n

## Lookup analysis



### Correctness

### Invariant

A condition that is always true in a specific point in an algorithm

### Loop invariant

- A condition that is always true at the beginning of a loop iteration
- what is exactly the beginning of a loop iteration?

### **Class** invariant

• A condition always true when the execution of a class method is completed

### Correctness

The loop invariant helps us proving that the algorithm is correct:

By induction...

Initialization (base case):

Prove that the condition is true before the first iteration

### Conservation (inductive step):

If the condition is true before the iteration of the loop, then **prove** that it remains true at the end (before the next iteration)

### **Conclusion:**

At the end, the invariant must represent the "correctness" of the algorithm

### Correctness of min

**Invariant:** At the beginning of **iteration i** of the while loop, <u>min\_so\_far contains the partial</u> <u>minimum of the elements in S[0:i]</u>.

```
def my faster min(S):
    min so far = S[0] #first element
    i = 1
    while i < len(S):</pre>
        if S[i] < min so far:</pre>
             min so far = S[i]
        i = i + 1
    return min so far
A = [7, -1, 9, 121, -3, 4, 13]
print(A)
print("min: {}".format(my min(A)))
[7, -1, 9, 121, -3, 4, 13]
min: -3
```

Base case:

min\_so\_far = S[0] **IS** the minimum of elements in S[0:1]

### Induction step:

(assuming min\_so\_far is the minimum of S[0:i]) at each iteration i, min\_so\_far is updated IFF S[i] < min\_so\_far



**Exercise:** prove the correctness of lookup\_rec

```
def lookup rec(L, v, start,end):
    if end < start:</pre>
        return -1
    else:
        m = (start + end)//2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left</pre>
            return lookup rec(L, v, start, m-1)
        else: #look to the right
            return lookup rec(L, v, m+1, end)
my list = [1, 3, 5, 11, 17, 121, 443]
print(my list)
print("{} in pos: {}".format(17,
                              lookup rec(my list, 17, 0, len(my list)-1)))
print("{} in pos: {}".format(4,
                              lookup rec(my list, 4, 0, len(my list)-1)))
print("{} in pos: {}".format(443,
                              lookup rec(my list, 443, 0, len(my list)-1)))
[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
443 in pos: 6
```

### What is the invariant?

**Exercise:** prove the correctness of lookup\_rec

```
def lookup rec(L, v, start,end):
    if end < start:</pre>
        return -1
    else:
        m = (start + end)//2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left</pre>
            return lookup rec(L, v, start, m-1)
        else: #look to the right
            return lookup rec(L, v, m+1, end)
my list = [1, 3, 5, 11, 17, 121, 443]
print(my list)
print("{} in pos: {}".format(17,
                              lookup rec(my list, 17, 0, len(my list)-1)))
print("{} in pos: {}".format(4,
                              lookup rec(my list, 4, 0, len(my list)-1)))
print("{} in pos: {}".format(443,
                              lookup rec(my list, 443, 0, len(my list)-1)))
[1, 3, 5, 11, 17, 121, 443]
17 in pos: 4
4 in pos: -1
443 in pos: 6
```

### What is the invariant?

If v is in L, it is located in L[start:end+1]

**Exercise:** prove the correctness of lookup\_rec. By induction on **n = end - start** 

Base case (n = 0)

```
def lookup_rec(L, v, start,end):
    if end < start:
        return -1
    else:
        m = (start + end)//2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left
            return lookup_rec(L, v, start, m-1)
        else: #look to the right
            return lookup rec(L, v, m+1, end)</pre>
```

**Inductive hypothesis**: given a size n, let us assume that the algorithm is correct for all sizes n' < n

Inductive step: given inductive hypothesis, prove invariant still holds for size n.

**Exercise:** prove the correctness of lookup\_rec. By induction on **n = end - start** 

**Base case (n = 0)**: if n == 0, this means that **end < start**. The algorithm **returns -1**. Correct given that if n == 0, v is not present.

**Inductive hypothesis**: given a size n, let us assume that the algorithm is correct **for all sizes n' < n** 

**Inductive step:** given a size n > 0, let m be the median element.

If L[m]==v, then the algorithm returns m, because m is the actual position of v —> hence v is in m = start+end//2 that **is in L[start:end]** 

If v < L[m], then if v is present, since S is sorted, it must be located in **L[start:m]**. <u>By inductive hypothesis</u>, lookup\_rec(L, v,start, m-1) will return the correct position of v if present, or -1 if not present (since **m-1 - start is smaller than n**).

if v > L[m] is symmetric.

```
def lookup_rec(L, v, start,end):
    if end < start:
        return -1
    else:
        m = (start + end)//2
        if L[m] == v: #found!
            return m
        elif v < L[m]: #look to the left
            return lookup_rec(L, v, start, m-1)
        else: #look to the right
        return lookup_rec(L, v, m+1, end)
sent</pre>
```